

PATENT
ATTORNEY DOCKET NO.: 051672-5001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Eugene FINK *et al.*

Serial No.: Unassigned 09/737595

Filed: December 18, 2000

For: **METHOD AND SYSTEM FOR
MULTI-DIMENSIONAL TRADING**

Examiner: Unassigned

Group Art Unit: Unassigned

Commissioner for Patents
Washington, D.C. 20231

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Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the

Examiner the documents listed on the attached PTO-1449. This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application

Copies of the listed documents are attached. Applicants respectfully request that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If it should be determined that any of the listed documents do not

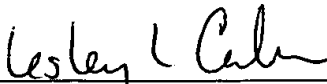
constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such document.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Except for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account No. 50-0310. This paragraph is intended to be a **CONSTRUCTIVE PETITION FOR EXTENSION OF TIME** in accordance with 37 C.F.R. §1.136(a)(3).

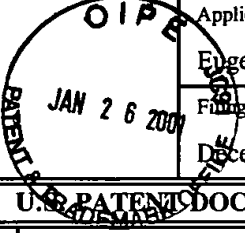
Respectfully submitted,

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Dated: January 26, 2001
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INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) PTO Form 1449 Page 1 of 1				Attorney Docket No.: 051672-5001		Application No.: Unassigned 09/737595	
				Applicants: Eugene FINK et al.			
				Filing Date: December 18, 2000		Group Art Unit: Unassigned	
U.S. PATENT DOCUMENTS							
*Examiner Initial		Document Number	Date	Name	Class	Sub Class	Filing Date
		5,715,402	Feb. 3, 1998	Popolo	395	237	Nov. 9, 1995
		4,799,156	Jan. 17, 1989	Shavit et al.	364	401	Oct. 1, 1986
		5,842,178	Nov. 24, 1998	Giovannoli	705	26	Jan. 30, 1998
		5,732,400	Mar. 24, 1998	Mandler et al.	705	26	Jan. 4, 1995
		6,085,176	July 4, 2000	Woolston	705	37	Mar. 8, 1999
		6,085,169	July 4, 2000	Walker et al.	705	26	July 8, 1997
		6,072,481	June 6, 2000	Matsushita et al.	345	326	Sept. 9, 1998
		6,058,417	May 2, 2000	Hess et al.	709	219	Oct. 23, 1998
		6,041,311	Mar. 21, 2000	Chislenko et al.	705	27	Jan. 28, 1997
		6,041,310	Mar. 21, 2000	Green et al.	705	27	Dec. 12, 1996
		6,035,288	Mar. 7, 2000	Solomon	705	37	June 29, 1998
		5,845,265	Dec. 1, 1998	Woolston	705	37	Nov. 7, 1995
		5,826,240	Oct. 20, 1998	Brockman et al.	705	11	Jan. 18, 1996
		5,794,207	Aug. 11, 1998	Walker et al.	705	23	Sept. 4, 1996
		5,717,989	Feb. 10, 1998	Tozzoli et al.	705	37	Oct. 13, 1994
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub Class	<u>Translation</u> YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
		Autotrader.com, "Used cars, Sell your car, Auto Financing & Insurance - Autotradercom homepage", 7/20/00					
		FreeMarkets.com, homepage, 7/20/00					
		Master.com, "Welcome to Master.com", 7/20/00					
		CareerBuilder.com, homepage, 7/20/00					
		CareerMosaic.com "Career Mosaic/Welcome to JOBS Direct Placement" 7/20/00					
		eRealty.com, "eRealty - The Smarter Way to Buy or Sell a Home in Washington, DC Metro Area", 7/20/00					
Examiner				Date Considered			